

9.2 NEAR MISS REPORT		
External Number Effective Date Tags FRM002441 12/01/2018 Near Miss		
Asset Mackenzie Rose	Filled By Erik Walordy	Filled 05/03/2024 11:35

Form Response Items

	ii Item	ii Value	iii Consumed From
1	9.2 Near Miss Report		-
1.1	Near Miss subject	LOSS STEERING RUDDER HARD OVER	-
1.2	Choose appropriate level for near miss:	Use Caution and Report	-
1.3	Location:	CAPE HATTERAS	-
1.4	Reported by (Optional):	Christopher (Chris) L. Miller (Deleted)	-
1.5	Description of Near Miss:	WHILE TRANSITING SOUTHBOUND THE CAPTAIN WAS ENTERING INFORMATION INTO THE ELECTRONIC LOG WHEN THE STEERING WENT INTO STANDBY AND THE RUDDER CAME HARD OVER WITHOUT ALARM. BY THE TIME THE CAPTAIN RELISED THE SITUATION WE WERE STEAMING FULL AHEAD AT THE LOADED BARGE. THE CAPTAIN PULLED BACK POWER SO THE RUDDER WOULD RESPOND AND CAME AWAY FROM THE BARGE WITH A FEW FEET TO SPARE. THE WIRE DRAGGED ON THE BOTTOM AND SUSTAINED MINIMAL DAMAGE.	EXHIBIT L

2:24-cv-00490-MSD-LRL Do	ocument 80-1 Filed 08/05/25	Page 2 of 11 PageID#
Actions Taken to Prevent Repeat of	STAND AMAZGENT WATCH BEWARE	-
Incident:	OF SYSTEM FAILURES WITHOUT	
	ALARMS, KEEP EQUIPMENT IN	
	PROPER WORKING ORDER.	
Office Use ONLY	Approved on 05/06/2024 08:56	-
Received date:	05/06/2024	-
Received by:	Brian Moore (Inactive)	-
Lessons learned created? (employee	No	_
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correlation with this near miss).		
,		
Relevant section of TSMS:		-
Remedial activities or training		_
required:		
Risk Severity Probability:		_
	Actions Taken to Prevent Repeat of Incident: Office Use ONLY Received date: Received by: Lessons learned created? (employee who is approving this near miss shoreside should also complete the Lessons Learned form-9.7- in correlation with this near miss). Relevant section of TSMS: Remedial activities or training required:	Actions Taken to Prevent Repeat of Incident: STAND ALGUZGENT WATCH BEWARE OF SYSTEM FAILURES WITHOUT ALARMS, KEEP EQUIPMENT IN PROPER WORKING ORDER. Office Use ONLY Approved on 05/06/2024 08:56 Received date: 05/06/2024 Brian Moore (Inactive) No Lessons learned created? (employee who is approving this near miss shoreside should also complete the Lessons Learned form-9.7- in correlation with this near miss). Relevant section of TSMS: Remedial activities or training required:



9.5 INCIDENT REPORT - EVENT		
External Number FRM002836	Effective Date	Tags Incident
Asset Mackenzie Rose	Filled By Christopher (Chris) L. Miller (Deleted)	Filled 05/21/2024 02:59

Form Response Items

:	▲ ii Item	ii Value
1	Incident Report	
1.1	Report all collisions/allisions, groundings, strandings, mechanical failures, fires, injuries, etc. within one working day of an occurrence. The master shall promptly contact the Director of Port Operations if the occurrence is of a serious nature. The master is responsible for taking immediate action to protect life of the environment and property, and in case of collision, help should be offered if possible to other vessels. The information on this report should be accurate and all blanks must be filled in.	
2	DATE, TIME, LOCATION & SUMMARY OF ENVIRONMENT	
2.1	Date of Incident:	05/21/2024
2.2	Time of Incident:	00:08
2.3	Location - Name of Body of Water or Waterway:	SB ATLANTIC OCEAN
2.4	* Latitude/Longitude or Mile Marker:	
2.5	River Gauge (if applicable):	
2.6	Vessel/Facility:	MACKENZIE ROSE
3	MASTER & CREW	

Case 3.1	2:24-cv-00490-MSD-LRL Document 80-1 Always complete. Select Crew from dropdown nhente	Filed 08/05/25 Page 4 of 11 PageID#
3.2	Master:	James D. Morrissey (Inactive)
3.3	When did he / she become aware of incident:	00:10 3/21/24
3.4	Name of first employee aware of the incident:	Christopher (Chris) L. Miller (Deleted)
3.5	What additional Employees observed / witnessed the incident: (attach statements to report)	
3.6	Name:	
3.7	Name:	
3.8	Name:	
3.9	People other than crew:	
4	DESCRIPTION OF INCIDENT:	
4.1	The vessel was involved in a marine casualty consisting in (46 CFR 4.05-1 and 4.05-10) CHECK ALL BOXES THAT APPLY:	
4.2	Unintended grounding or an unintended strike of (allision with) a bridge;	
4.3	2. Intended grounding or intended strike of a bridge that created a hazard to navigation, the environment or the safety of the vessel or that meets any of the criteria in #3 through #8 below;	
4.4	3. Loss of main propulsion, primary steering, or any associated component or control system that reduces the manueverability of the vessel	Done
4.5	4. Occurrence materially and adversely affected the vessel's seaworthiness or fitness for service or route;	
4.6	5. Loss of life;	
4.7	6. Injury that requires professional medical treatment (treatment beyond first aid) and if the person is engaged or employed on board a vessel in commercial service, that renders the individual unfit to perform his or her routine duties;	

4.8	Se 2:24-cv-00490-MSD-LRL Document 80-1 7. Occurrence causing property damage in excels680	Filed 08/05/25 Page 5 of 11 PageID#
	\$75,000.00	
4.9	8. Occurrence involving significant harm to the environment	
4.10	THE VESSEL OR FACILITY WAS INVOLVED IN A COMMERCIAL DIVING CASUALTY (46 CFR 197.484):	
4.11	1. Loss of Life;	
4.12	2. Diving related injury to any person causing incapacitation for more than 72 hours;	
4.13	3. Diving related injury to any person requiring hospitalization for more than 24 hours	
5	Weather Conditions	
5.1	Weather	Mostly Cloudy
5.2	If other, please explain:	
5.3	Natural Light:	Night without Moon
5.4	If other, please explain:	
5.5	Visibility:	Fair
5.6	If other, please explain:	
5.7	Visibility in Miles:	10
5.8	Air Temperature (F):	54'
5.9	Wind Speed (kts):	15
5.10	Wind Direction:	SSE
5.11	Water Speed (kts):	
5.12	Direction:	
5.13	Tide:	
5.14	Sea Conditions:	
6	STATUS OF INVOLVED PERSONS	CARVER 0020

6.1	Se 2:24-cv-00490-MSD-LRL Document 80-1 Total Number of Persons Onboard the Vessel: 1681	Filed 08/05/25 Page 6 of 11 PageID#
6.2	Total Number of Persons Injured:	0
6.3	Total Number of Persons Dead:	0
6.4	Total Number of Persons Missing:	0
6.5	Injured Person - Name if a crew member:	
6.6	Injured Person - Name if not a crew member:	
6.7	Injured Person - Gender if not a crew member:	
6.8	Injured Person Address and Phone Number if not a crew member:	
7	ABOUT THE INJURY	
7.1	Injury or Ailment Type (Check all that apply):	
7.2	Trip/Fall:	
7.3	Strain:	
7.4	Struck by/Hit:	
7.5	Burn:	
7.6	Cut:	
7.7	Seizure:	
7.8	Vomiting:	
7.9	Fainting:	
7.10	Sweating:	
7.11	Rash:	
7.12	Other:	
7.13	* If other describe:	
7.14	Did the person lose consciousness?	
7.15	* If so, duration:	CARVER 002

	e 2:24-cv-00490-MSD-LRL Document 80-1	Filed 08/05/25 Page 7 of 11 PageID#
7.16	Body Part Affected: (be as detailed as possible; 166820t; right index finger, top of head, lower back etc.) Describe how the body part was affected.	
8	TREATMENT	
8.1	Did crew members or others give First Aid?	
8.2	Who offered and /or administered First Aid?	
8.3	Did the injured person refuse treatment at the time of the incident?	
8.4	Were the paramedics or other medical personnel notified of the incident?	
8.5	* If yes, list name of treating company / personnel, plus date and time:	
8.6	Was the injured person taken to the hospital or other medical facility?	
8.7	* If YES, name of hospital or facility:	
8.8	* When and how did they get to the facility?	
8.9	*Did a company representative accompany the person to the hospital / facility?	
8.10	*If yes, who?	
9	CASUALTY INFORMATION	
9.1	Was this a Serious Marine Incident as Defined in 46 CFR 4.03-2?	Yes
9.2	Is there evidence that alcohol or drug use by or intoxication of individuals directly involved in the casualty?	No
9.3	If the answer to 6.2 is YES, identify those individuals for whom evidence has been obtained and specify the method to obtain such evidence.	
9.4	Did any individual directly involved in the casualty refuse to submit to, or cooperate in, the administartion of a timely chemical test, when directed by a law enforcement officer or by the marine employer?	CARVER 00204

9.5	If the answer to 6.4 is YES, identify those individ LaB3 that refused to submit to, or cooperate in, the administration of a timely chemical test.	Filed 08/05/25 Page 8 of 11 PageID#
10	NATURE AND CIRCUMSTANCE OF THE CASUALTY	
10.1	1. Activity or Operation being conducted at the time of the casualty:	SB IN AUTO PILOT
10.2	2. Description of the Casualty (casualty events and conditions and ctions that were believed to be causal factors as well as any hazards created as a result of the casualty.	STEERING WENT HARD LEFT
10.3	Any other comments, including with respect to use of or need for emergency response equipment:	
11	ITEMS RELATING TO THE INCIDENT	
11.1	What type of shoes were worn by the injured person at the time of the incident?	
11.2	What was the deck surface in the area of the incident?	
11.3	Was the injured person consuming alcohol prior to the incident?	
11.4	Did the injured person appear intoxicated?	
11.5	Did the injured person provide information in how the incident occurred?	
11.6	* If yes, explain:	
12	DAMAGE TO PROPERTY	
12.1	Describe Property:	
12.2	Owner of Property:	
12.3	Property Owner's Phone Number:	
12.4	Property Owner's Address:	
12.5	Describe Property Damage:	
13	NOTIFICATION	

13.1	2:24-cv-00490-MSD-LRL Document 80-1 Was the USCG Verbally Notified? 1684	Filed 08/05/25 Page 9 of 11 PageID#
13.2	Was a 2692 completed?	No
13.3	Were the police or local authorities notified?	No
13.4	* Was a report taken?	
13.5	When was Master notified?	00:10
13.6	When was the Designated Person notified?	
13.7	List names of any others notified at time of incident:	
14	WITNESSES TO THE INCIDENT	
14.1	Have each individual complete a witness statement and attach to this report.	
14.2	Other than crew were there other witnesses?	
14.3	If so, please list:	
15	INJURED PERSON	
15.1	I have reviewed the incident report and feel that it accurately reports the facts as I know them. I have not made any false statements.	
15.2	Injured Crew Member:	
15.3	Injured Crewman's Last Four Numbers of Social Security Number:	
16	PERSON MAKING THIS REPORT	
16.1	I have completed the form completely and accurately and to the best of my ability. I have not made any false statements or inaccurate statements.	
16.2	Name:	Christopher (Chris) L. Miller (Deleted)
17	SPILL (IF APPLICABLE)	
17.1	Was there a release of product?	No
17.2	Was the release contained?	No

Case 2 17.3	2:24-cv-00490-MSD-LRL Document 80-1 Did product spill into the water? 1685	Filed 08/05/25 Page 10 of 11 PageID#
17.4	What was the product released/ spilled?	
17.5	What was the approximate amount released/ spilled on deck?	
17.6	What was the approximate amount released/ spilled in water?	
17.7	Terminal where product was loaded:	N/A
17.8	Terminal where product was discharged:	
18	EXPOSURE REPORT (IF APPLICABLE)	
18.1	Date of Exposure:	
18.2	Time of Exposure:	
18.3	Route(s) of Exposure:	
18.4	PPE Used:	
18.5	I decline a medical evaluation at this time.	
18.6	Employee Electronic Signature:	
18.7	Supervisor Electronic Signature:	
19	DESIGNATED PERSON APPROVAL	Declined on 06/24/2025 15:03
19.1	Date Received:	
19.2	Time Received:	
19.3	Check that all necessary items have been completed and that all required forms have been submitted to the appropriate agencies. If not applicable, select N/A.	
19.4	CG-2692 submitted via:	
19.5	Date CG-2692 submitted to USCG:	
19.6	Spill ONLY- Notify NRC:	
19.7	Spill ONLY- Notify GLO:	

Case 19.8	2:24-cv-00490-MSD-LRL Document 80-1 Chemical Testing: 1686	Filed 08/05/25	Page 11 of 11 PageID#
19.9	Results from Chemical Testing:		
19.10	Date Chemical Testing Results Received:		
19.11	CG-2692B:		
19.12	Date CG-2692B submitted to USCG:		
19.13	CG-2692B submitted via:		
19.14	Personal Injury Report:		
19.15	Date Personal Injury Report received from vessel:		
19.16	Witness statements from all involved personnel (including dock personnel and vendors):		
19.17	Physician's Statements:		
19.18	Root Cause Analysis:		
19.19	Prepare/ Implement Corrective Action/ Preventative Action:		

Notes

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FORM HAS SIT IN THIS INBOX FOR MONTHS WITH THE PRIOR DPA	Jason Galioto	06/24/2025 15:03	•